PTO/SB/21 (08-00) lease type a plus sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/077,546 Application Number TRANSMITTAL Filing Date February 15, 2002 **FORM** First Named Inventor Peter A. LEEDS (to be used for all correspondence after initial filing) 2165 Group Art Unit **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number L9090/269380 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Proprietary Information After Final Petition to Convert to a Affidavits/declaration(s) Status Letter **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): 1. Form PTO 08A and 08B (2) Terminal Disclaimer sheets) Express Abandonment Request Request for Refund 2. 37 publications Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks 0CT 2 2 2002 Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Geoff L. Sutcliffe, Reg. No. 36,348 Individual name Signature PATENT TRADEMARK OFFICE Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name Date Signature Kossi

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GROUP 36

APPLICANT:

Peter A. Leeds, et al.

SERIAL NO.:

10/077,546

GROUP ART UNIT:

2165

FILED:

February 15, 2002

EXAMINER:

Unknown

FOR:

MANAGEMENT SYSTEMS AND

METHODS FOR MAXIMIZING

RETURN ON ASSETS

ATTORNEY DOCKET NO.: L9090/269380

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), enclosed are copies of the references listed on the attached modified Form PTO-08A and Form PTO-08B.

The undersigned does not concede that any of the identified materials constitute prior art within the meaning of the United States patent laws.

This Information Disclosure Statement is being filed before the mailing of the first Office Action on the merits (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be required. However, if a first Office Action on the merits has issued, please consider this

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this 224 day of 2 , 2002 with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

1424503

U.S.S.N.:10/077,546 Filed: February 15, 2002 Applicant: Peter A. Leeds et al.

Information Disclosure Statement under 37 C.F.R. 1.97(c). The Commissioner is hereby authorized to charge the applicable fees, if any, to Deposit Account No. 11-0855.

Respectfully submitted,

Geoff L. Sutcliffe Reg. No. 36,348

Attorney for Assignee

KILPATRICK STOCKTON LLP 1100 Peachtree Street, Suite 2800 Atlanta, Georgia 30309 404.815.6530

Attorney Docket No.: L9090/269380

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OCT 2 1 2002

Complete if Known

Application Number 10/077,546

Filing Date February 15, 2002

First Named Inventor Peter A. Leeds

Group Art Unit 2165

Examiner Name

L9090/269380

(use as many sheets as necessary)

Sheet 1 of 3 Attorney Docket Number

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Examiner Initials *	Cite No.1	Number - Kind Code ² (if known)	Publication Da MM-DD-YYY	ate	Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Releva Passages or Relevant Figures Appear	
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_		5,715,314	02/03/98			Payne, et al.		
		5,715,402	02/03/98			Popolo		
		5,732,400	03/24/98			Mandler, et al.		
	i -	5,774,870	06/30/98			Storey		
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		5,818,914	10/06/98			Fujisaki	2	0
		5,826,244	10/20/98			Huberman		7 (
		5,832,497	11/03/98			Taylor		20
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		5,862,223	01/19/99			Walker, et al.	ယ	<u>ė</u> r
		5,870,552	02/09/99			Dozier, et al.	<u>(2)</u>	<u> </u>
		5,890,138	03/30/99			Godin, et al.		<u> </u>
-		5,905,975	05/18/99			Ausubel		
		5,913,202	06/15/99			Motoyama		
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Examiner Initials*	Cite No.1	Foreign Patent Docu Country Code ³ – Number ⁴ - Kind (Publicatio Date MM-DD-YY		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	⊤ 6
	 	EP 828 223 A	2 09/0-		96	Europe		
	 	EP 628 920 A				Europe		
	 	JP 07-296082		11/10/9				Absi
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/077,546 February 15, 2002 Filing Date Peter A. LEEDS First Named Inventor Group Art Unit 2165 **Examiner Name**

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Attorney Docket Number L9090/269380

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		Bidder's Edge – We Help You Win, http://www.biddersedge.com/helpyouwin.html, printed 07/06/99, 2 pages					
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		Hu, Jim, "Lycos launches auction search," October 19, 1998, News.com, page 1					
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